



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/875,805	06/05/2001		INEX.P-010

021121  
OPPEDAHL AND LARSON LLP  
P O BOX 5068  
DILLON, CO 80435-5068

CONFIRMATION NO. 5995

## FORMALITIES LETTER



\*OC00000006389750\*

Date Mailed: 08/07/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

Linda L. Orr



DEPT REF  
Room 307

INEX.P-010  
PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE #4/Reg for

Applicant: Wheeler, et al.

Serial No.: 09/875,805

Filed: June 5, 2001

For: Method of Preventing Aggregation of a Lipid-Nucleic Acid Complex

Refund  
03-11-02

REQUEST FOR REFUND

Hon. Commissioner of Patent and Trademarks  
Washington, D.C. 20231

Sir:

Applicants filed a Response to Notice to File Missing Parts and Request for Extension of Time on November 9, 2001. A check in the amount of \$1270.00 was submitted to cover the filing fee, the surcharge for late filing of the oath and a two month extension fee. A copy of this filing is enclosed.

However, these fees were paid for a large entity. The applicant is a small entity. Therefore, we are requesting a refund of one-half of the fee submitted or \$635.00. The Commissioner is requested to credit any such refund to Deposit Account No. 15-0610.

Respectfully submitted,

Marina T. Larson  
PTO Reg. No. 32,038  
(970)468-6600

Enclosure

Cert. under 37 CFR 1.8  
This paper and the attachments named herein are being deposited with the United States Postal Service with sufficient postage as first class mail and addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on

11 December 2001  
S. Howard S. Howard  
Name Sign